FORM PTO 1449 *(modified)*
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)
Date Submitted to PTO: **September 5, 2001**

ATTY DOCKET NO. 1263.1608	APPLICATION NO. 09/898,776	
APPLICANT **YUAN SHAO**		
FILING DATE **July 3, 2001**		GROUP **Unassigned**

SEP 0 5 2001

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
N	**0 533 338**	**3/24/93**	**EPO**			
MD	**0 335 739**	**10/4/89**	**EPO**			

OTHER DOCUMENT(S) *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Sheet 1 of 1

GMJ/smj

11/15/04

ATTY DOCKET NO.	APPLICATION NO.
263.1608	09/898,776

APPLICANT
YUAN SHAO

FILING DATE	GROUP
July 3, 2001	**Unassigned**

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MD	5,559,925	9/24/96	AUSTIN	395	2.4	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

MD	"Correcting Recognition Errors Via Discriminative Utterance Verification", Setlur, et al., Lucent Technologies, Naperville, IL, pp. 602-605
MD	"A Probablistic Approach To Confidence Estimation and Evaluation", Gillick, et al., Dragon Systems, Inc., Newton, MA,
MD	"Neural - Network Based Measures of Confidence For Word Recognition", Weintraub, et al., Speech Technology and Research Laboratory, IEEE, 1997, pp. 887-890
MD	"Detecting Misrecognition and Out-of-Vocabulary Words", IEEE 1994 Young, School of Computer Science, Carneigie Mellon University, pp. 21-24
MD	"Estimating Confidence Using Word Lattices, Kemp, et al., ESCA, Eurospeech 97, Interactive Systems Laboratories, University of Karlsruhe, Germany, pp 827-830
MD	"Using Word Probabilities As Confidence Measures", Wessel, et al., Lehrstuhl fur Informatik VI, RWTH Aachen - University of Technology, Germany, pp. 225-228

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Sheet 1 of 1

GMJ\smj

DC_MAIN 67872 v 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)

(Use several sheets if necessary)

Date Submitted to PTO: **July 3, 2001**

ATTY DOCKET NO. **1263.1608**	APPLICATION NO. **UNASSIGNED**
APPLICANT **YUAN SHAO**	
FILING DATE **July 3, 2001**	GROUP **Unassigned**

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/MO	**5,613,037**	3/18/97	**SUKKAR**	**395**	**2.65**	
/	**5,710,864**	1/20/98	**JUANG, ET AL.**	**395**	**2.47**	
/)	**5,737,489**	4/7/98	**CHOU, ET AL.**	**395**	**2.65**	
/M)	**5,842,163**	11/24/98	**WEINTRAUB**	**704**	**240**	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
/MU)	**0 789 349 A2**	8/13/97	**EPO**			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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M.M. Cpaanu

4/15/04